

05-14-01

05/10/01
jc961 U.S. PTO

REV. 04/01
For Other Than A Small Entity

Docket No. CF/019

Applicants : Philip M. Ginsberg et al.
For : SYSTEMS AND METHODS FOR ANONYMOUS
ELECTRONIC TRADING

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EI125454787US.

Date of Deposit May 10, 2001.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.

CLARENCE J. SAMUELS

BOX PATENT APPLICATION

Hon. Commissioner for Patents
Washington, D.C. 20231

New York, New York
May 10, 2001

TRANSMITTAL LETTER FOR
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the
[X] specification; [X] claims; [X] abstract;
[X] unexecuted declaration and power of attorney, for the
above-identified patent application;

Also transmitted herewith are:

[X] 11 sheets of:

[] Formal drawings.

[X] Informal drawings. Formal drawings will be
filed during the pendency of this application.

11036 U.S. PTO
09/853430

05/10/01

☐ Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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from which priority is claimed.

☐ An assignment of the invention to _____

☐ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An associate power of attorney.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$710.00
TOTAL CLAIMS	26	- 20 = 6	X \$ 18 =	\$108.00
INDEPENDENT CLAIMS	4	- 3 = 1	X \$ 80 =	\$ 80.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$270 =	\$ 0.00
TOTAL				<u>\$898.00</u>

- [X] A check in the amount of \$898.00 in payment of the filing fee is transmitted herewith.
- [] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully Submitted,



Matthew F. Byrne
Registration No. 40,934
Attorney for Applicants

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PATENTS
Attorney Docket No. CF/019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Philip M. Ginsberg et al.
Filed : Herewith
For : SYSTEMS AND METHODS FOR ANONYMOUS
ELECTRONIC TRADING

New York, New York 10020
May 10, 2001

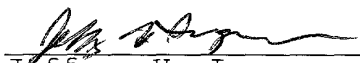
Hon. Commissioner for Patents
Washington, D.C. 20231

NONPUBLICATION REQUEST
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicants hereby request that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,



Jeffrey H. Ingerman
Registration No. 31,069
Attorney for Applicants

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